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STATE PATENT ENTITY  
BRANCH  
Docket No.: 2001071  
2001 MAR 16 PM 1:15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Title of Invention: Alignment Mark and Alignment Method Using The Same For Photolithography to Eliminating Procrss Blas Error

Inventor: Tony Chien

Serial No.: 10750805

Filing Date: 01/02/2004

Attn.: Mail Stop 16 (Refunds Branch)  
TO: Director of the US Patent and Trademark Office  
P. O. Box 1450  
Alexandria, VA 22313-1450

Re: Refund request for Deposit Account Number 16-2497

Sir:


In January, 2004 Monthly Account Statement, \$172.00 was charged for 2 independent cliams in excess of three and posted on 01/08/2004 as a large entity for above-identified patent application.

The fee was mistakenly charged because the total independent claims for this application are 4, so USPTO should only charge \$86.00 for 1 independent claim in excess of three. Copies of the new application transmittal are enclosed for your reference.

Therefore, we request prompt refunds for \$86.00 to be credited back to our Deposit Account No. 16-2497. Should you have any question or need more information, please contact the undersigned attorney at (408) 255-4976 or fax to (408) 996-8625. Thank you for your kind consideration.

Date: March 10, 2004


Telephone: (408)255-4976  
Facsimile: (408)996-8625

  
Lin-Yun Cheng (Attorney-at-Law)  
Pro-TECHTOR International Services  
20775 Norada Court,  
Saratoga, CA 95070-3018

Certificate of Mailing of Patent Issue Fee Transmittal under 37 CFR 1.8(a)

I hereby certify that this letter (along with any referred to as being attached or enclosed) is/are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop 16 (Refunds Branch), Director of the US Patent and Trademark Office, P. O. Box 1450, Alexandria, VA 22313-1450

Date: March 10, 2004

  
Lin-Yun Cheng (Attorney-at-Law)

STATUS AND ENTITY  
BRANCH

2001 MAR 16 PM 1:16

**PATENT**  
Express Mail # 312904960  
Docket No. 2001071

**NEW APPLICATION TRANSMITTAL - UTILITY**

Transmitted herewith for filing is the patent application of Tony CHIEN for "ALIGNMENT MARK AND ALIGNMENT METHOD USING THE SAME FOR PHOTOLITHOGRAPHY TO ELIMINATING PROCESS BIAS ERROR".

1. Type Of Application

This application is an original utility application.

2. Benefit Of Prior Application

Priority is claimed based on Taiwan application serial no. 92121221, filed Aug. 1, 2003.

3. Fee Calculation

CLAIMS AS FILED

Basic Fee = \$770.00

Total:	18 - 20 = 0 x \$18.00 =	0.00
Ind.:	4 - 3 = 1 x \$86.00 =	86.00
Multiple dependent:	0 x \$290.00 =	<u>0.00</u>

Basic Filing Fee Calculation = \$856.00

4. Fee Payment Being Made At This Time

Required Fees:

x Basic Filing Fee      \$856.00

**Total Fees      \$856.00**

Please charge the above and any deficiencies in fees to Deposit Acct # 16-2497.

5. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b)

STATUS AND ENTITY  
BRANCH

10 Pages of specification

4 Pages of claims

1 Page of abstract

4 Sheets of formal drawings

2004 MAR 16 PM 1:16

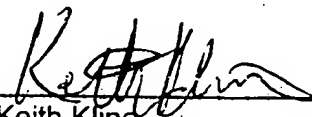
6. Declaration or Oath

A Combined Declaration and Power of Attorney executed by each inventor is enclosed herewith.

7. Certificate of Mailing (37 CFR 1.10): I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as Express Mail in an envelope addressed to: Mail Stop New Applications, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted,

Date: January 2, 2004

  
\_\_\_\_\_  
Keith Kline

PRO-TECHTOR INTERNATIONAL  
20775 Norada Court  
Saratoga, CA 95070-3018

Registration No: 32,737  
Telephone: (408) 778-3440

# PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2003

Application or Docket Number

## CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	18	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	18 minus 20 = *	-
INDEPENDENT CLAIMS	5 minus 3 = *	2
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

SMALL ENTITY TYPE ☐

OR OTHER THAN SMALL ENTITY

RATE	FEE		RATE	FEE
BASIC FEE	385.00	OR	BASIC FEE	770.00
XS 9=		OR	XS18=	
X43=		OR	X86=	172
+145=		OR	+290=	
TOTAL		OR	TOTAL	942

\* If the difference in column 1 is less than zero, enter "0" in column 2

## CLAIMS AS AMENDED - PART II

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	*	Minus **	=
Independent	*	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

SMALL ENTITY OR OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
XS 9=		OR	XS18=	
X43=		OR	X86=	
+145=		OR	+290=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	*	Minus **	=
Independent	*	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
XS 9=		OR	XS18=	
X43=		OR	X86=	
+145=		OR	+290=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	*	Minus **	=
Independent	*	Minus ***	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE		RATE	ADDITIONAL FEE
XS 9=		OR	XS18=	
X43=		OR	X86=	
+145=		OR	+290=	
TOTAL ADDIT. FEE		OR	TOTAL ADDIT. FEE	

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20"  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3"  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1

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**RAM** Fee History  
Query  
Revenue Accounting and Management

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Name/Number: 10750805

Total Records Found: 3

Start Date: Any Date

End Date: Any Date

Accounting Date	Sequence Num.	Tran Type	Fee Code	Fee Amount	Mailroom Date	Payment Method
01/08/2004	00000031	<u>1</u>	<u>1001</u>	\$770.00	01/02/2004	DA 162497
01/08/2004	00000032	<u>1</u>	<u>1201</u>	\$172.00	01/02/2004	DA 162497
01/14/2004	00000216	<u>1</u>	<u>8021</u>	\$40.00	01/02/2004	DA 162497